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This document is based on material from the “Interactive Theorem Proving Course” by Thomas Tuerk
(<https://www.thomas-tuerk.de>):
<https://github.com/thtuerk/ITP-course>

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Interactive Theorem Proving and Program Verification

Lecture 3

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Based on slides by Thomas Tuerk

Part VII

Backward Proofs



- let's prove $\neg A \vee B. A \wedge B \Leftrightarrow B \wedge A$

```
(* Show  $\vdash A \wedge B \Rightarrow B \wedge A$  *)
```

```
val thm1a = ASSUME ``A  $\wedge$  B``;
```

```
val thm1b = CONJ (CONJUNCT2 thm1a) (CONJUNCT1 thm1a);
```

```
val thm1 = DISCH ``A  $\wedge$  B`` thm1b
```

```
(* Show  $\vdash B \wedge A \Rightarrow A \wedge B$  *)
```

```
val thm2a = ASSUME ``B  $\wedge$  A``;
```

```
val thm2b = CONJ (CONJUNCT2 thm2a) (CONJUNCT1 thm2a);
```

```
val thm2 = DISCH ``B  $\wedge$  A`` thm2b
```

```
(* Combine to get  $\vdash A \wedge B \Leftrightarrow B \wedge A$  *)
```

```
val thm3 = IMP_ANTISYM_RULE thm1 thm2
```

```
(* Add quantifiers *)
```

```
val thm4 = GENL [``A:bool``, ``B:bool``] thm3
```

- this is how you write down a proof you already know
- for finding a proof it is however often useful to think **backwards**

Motivation II - thinking backwards



- we want to prove
 - ▶ $\neg A \vee B \iff A \wedge B \iff B \wedge A$
- all-quantifiers can easily be added later, so let's get rid of them
 - ▶ $A \wedge B \iff B \wedge A$
- now we have an equivalence, let's show 2 implications
 - ▶ $A \wedge B \implies B \wedge A$
 - ▶ $B \wedge A \implies A \wedge B$
- we have an implication, so we can use the precondition as an assumption
 - ▶ using $A \wedge B$ show $B \wedge A$
 - ▶ $A \wedge B \implies B \wedge A$

Motivation III - thinking backwards



- we have a conjunction as assumption, let's split it
 - ▶ using A and B show $B \wedge A$
 - ▶ $A \wedge B \implies B \wedge A$
- we have to show a conjunction, so let's show both parts
 - ▶ using A and B show B
 - ▶ using A and B show A
 - ▶ $A \wedge B \implies B \wedge A$
- the first two proof obligations are trivial
 - ▶ $A \wedge B \implies B \wedge A$
- ...
- we are done

- common practise
 - ▶ think backwards to find proof
 - ▶ write found proof down in forward style
- often switch between backward and forward style within a proof
Example: induction proof
 - ▶ backward step: induct on ...
 - ▶ forward steps: prove base case and induction case
- whether to use forward or backward proofs depend on
 - ▶ support by the interactive theorem prover you use
 - ★ HOL4 and close family: emphasis on backward proof
 - ★ Isabelle/HOL: emphasis on forward proof
 - ★ Coq : emphasis on backward proof
 - ▶ your way of thinking
 - ▶ the theorem you try to prove

- in HOL4
 - ▶ proof tactics / backward proofs used for most user-level proofs
 - ▶ forward proofs used usually for writing automation
- backward proofs are implemented by **tactics** in HOL4
 - ▶ decomposition into subgoals implemented in SML
 - ▶ SML data structures used to keep track of all open subgoals
 - ▶ forward proof used to construct theorems
- to understand backward proofs in HOL4 we need to look at
 - ▶ **goal** — SML datatype for proof obligations
 - ▶ **goalStack** — library for keeping track of goals
 - ▶ **tactic** — SML type for functions performing backward proofs

- goals represent proof obligations, i. e. theorems we need/want to prove
- the SML type `goal` is an abbreviation for `term list * term`
- the goal `([asm_1, ..., asm_n], c)` records that we need/want to prove the theorem $\{asm_1, \dots, asm_n\} \vdash c$

Example Goals

Goal

`(["A", "B"], "A ∧ B")`
`(["B", "A"], "A ∧ B")`
`(["B ∧ A"], "A ∧ B")`
`([], "(B ∧ A) ==> (A ∧ B)")`

Theorem

$\{A, B\} \vdash A \wedge B$
 $\{A, B\} \vdash A \wedge B$
 $\{B \wedge A\} \vdash A \wedge B$
 $\vdash (B \wedge A) \implies (A \wedge B)$

- the SML type `tactic` is an abbreviation for the type `goal -> goal list * validation`
- `validation` is an abbreviation for `thm list -> thm`
- given a goal, a tactic
 - ▶ decides into which subgoals to decompose the goal
 - ▶ returns this list of subgoals
 - ▶ returns a validation that
 - ★ given a list of theorems for the computed subgoals
 - ★ produces a theorem for the original goal
- special case: empty list of subgoals
 - ▶ the validation (given `[]`) needs to produce a theorem for the goal
- notice: a tactic might be invalid

$$\frac{\Gamma \vdash p \quad \Delta \vdash q}{\Gamma \cup \Delta \vdash p \wedge q} \text{ CONJ} \qquad \frac{t \equiv \text{conj1} \wedge \text{conj2} \quad \text{asl} \vdash \text{conj1} \quad \text{asl} \vdash \text{conj2}}{\text{asl} \vdash t}$$

```
val CONJ_TAC: tactic = fn (asl, t) =>
  let
    val (conj1, conj2) = dest_conj t
  in
    ([ (asl, conj1), (asl, conj2) ],
     fn [th1, th2] => CONJ th1 th2 | _ => raise Match)
  end
handle HOL_ERR _ => raise ERR "CONJ_TAC" ""
```

$$\frac{\Gamma \vdash p \implies q \quad \Delta \vdash q \implies p}{\Gamma \cup \Delta \vdash p = q} \text{IMP_ANTISYM_RULE}$$

$$\frac{t \equiv \text{lhs} = \text{rhs} \quad \text{asl} \vdash \text{lhs} \implies \text{rhs} \quad \text{asl} \vdash \text{rhs} \implies \text{lhs}}{\text{asl} \vdash t}$$

```

val EQ_TAC: tactic = fn (asl, t) =>
  let
    val (lhs, rhs) = dest_eq t
  in
    ([ (asl, mk_imp (lhs, rhs)), (asl, mk_imp (rhs, lhs)) ],
     fn [th1, th2] => IMP_ANTISYM_RULE th1 th2
       | _          => raise Match)
  end
  handle HOL_ERR _ => raise ERR "EQ_TAC" ""

```

- the `proofManagerLib` keeps track of open goals
- it uses `goalStack` internally
- important commands
 - ▶ `g` — set up new goal
 - ▶ `e` — expand a tactic
 - ▶ `p` — print the current status
 - ▶ `top_thm` — get the proved thm at the end

Tactic Proof Example I



Previous Goalstack

-

User Action

```
g '!A B. A /\ B <=> B /\ A';
```

New Goalstack

Initial goal:

```
!A B. A /\ B <=> B /\ A
```

```
: proof
```

Tactic Proof Example II



Previous Goalstack

Initial goal:

$!A \ B. \ A \ \wedge \ B \ \Leftrightarrow \ B \ \wedge \ A$

: proof

User Action

e GEN_TAC;

e GEN_TAC;

New Goalstack

$A \ \wedge \ B \ \Leftrightarrow \ B \ \wedge \ A$

: proof

Tactic Proof Example III



Previous Goalstack

$A \wedge B \Leftrightarrow B \wedge A$

: proof

User Action

e EQ_TAC;

New Goalstack

$B \wedge A \Rightarrow A \wedge B$

$A \wedge B \Rightarrow B \wedge A$

: proof

Tactic Proof Example IV



Previous Goalstack

$B \wedge A \implies A \wedge B$

$A \wedge B \implies B \wedge A$: proof

User Action

e STRIP_TAC;

New Goalstack

0. A

1. B

$B \wedge A$

Tactic Proof Example V



Previous Goalstack

0. A

1. B

B /\ A

User Action

e CONJ_TAC;

New Goalstack

0. A

1. B

A

0. A

1. B

B

Tactic Proof Example VI



Previous Goalstack

0. A

1. B

A

0. A

1. B

B

User Action

```
e (ACCEPT_TAC (ASSUME 'B:bool'));
```

```
e (ACCEPT_TAC (ASSUME 'A:bool'));
```

New Goalstack

```
B /\ A ==> A /\ B
```

```
: proof
```

Previous Goalstack

$B \wedge A \implies A \wedge B$

: proof

User Action

```
e STRIP_TAC;  
e (ASM_REWRITE_TAC []);
```

New Goalstack

Initial goal proved.

```
|- !A B. A /\ B <=> B /\ A:  
  proof
```

Previous Goalstack

Initial goal proved.

```
|- !A B. A /\ B <=> B /\ A:  
  proof
```

User Action

```
val thm = top_thm();
```

Result

```
val thm =  
  |- !A B. A /\ B <=> B /\ A:  
  thm
```

The **prove** function

```
prove : term * tactic -> thm
```

- Takes a boolean term and attempts to prove it with the supplied tactic
- Fails with an exception if the tactic cannot solve the goal

Combined Tactic

```
val thm = prove (“!A B. A /\ B <=> B /\ A“,
  GEN_TAC >> GEN_TAC >>
  EQ_TAC >| [
    STRIP_TAC >>
    STRIP_TAC >| [
      ACCEPT_TAC (ASSUME ‘B:bool‘),
      ACCEPT_TAC (ASSUME ‘A:bool‘)
    ],
    STRIP_TAC >>
    ASM_REWRITE_TAC[]
  ]);
```

Result

```
val thm =
  |- !A B. A /\ B <=> B /\ A:
  thm
```

Cleaned-up Tactic

```
val thm = prove (“!A B. A /\ B <=> B /\ A“,
  REPEAT GEN_TAC >>
  EQ_TAC >> (
    REPEAT STRIP_TAC >>
    ASM_REWRITE_TAC []
  ));
```

Result

```
val thm =
  |- !A B. A /\ B <=> B /\ A:
  thm
```


- in HOL4 most user-level proofs are tactic-based
 - ▶ automation often written in forward style
 - ▶ low-level, basic proofs written in forward style
 - ▶ nearly everything else is written in backward (tactic) style
- there are **many** different tactics
- in the lecture only the most basic ones will be discussed
- **you need to learn about tactics on your own**
 - ▶ good starting point: Quick manual
 - ▶ learning finer points takes a lot of time
 - ▶ exercises require you to read up on tactics
- often there are many ways to prove a statement, which tactics to use depends on
 - ▶ personal way of thinking
 - ▶ personal style and preferences
 - ▶ maintainability, clarity, elegance, robustness
 - ▶ ...

Part VIII

Basic Tactics



- originally tactics were written all in capital letters with underscores
Example: `ALL_TAC`
- since 2010 more and more tactics have overloaded lower-case syntax
Example: `all_tac`
- sometimes, the lower-case version is shortened
Example: `REPEAT`, `rpt`
- sometimes, there is special syntax
Example: `THEN`, `\`, `>>`
- which one to use is mostly a matter of personal taste
 - ▶ all-capital names are hard to read and type
 - ▶ however, not for all tactics there are lower-case versions
 - ▶ mixed lower- and upper-case tactics are even harder to read
 - ▶ often shortened lower-case name is not *speaking*

In the lecture we will use mostly the old-style names.

Some Basic Tactics



GEN_TAC	remove outermost all-quantifier
DISCH_TAC	move antecedent of goal into assumptions
CONJ_TAC	splits conjunctive goal
STRIP_TAC	splits on outermost connective (combination of GEN_TAC, CONJ_TAC, DISCH_TAC, ...)
DISJ1_TAC	selects left disjunct
DISJ2_TAC	selects right disjunct
EQ_TAC	reduce Boolean equality to implications
ASSUME_TAC thm	add theorem to list of assumptions
EXISTS_TAC term	provide witness for existential goal

- tacticals are SML functions that combine tactics to form new tactics
- common workflow
 - ▶ develop large tactic interactively
 - ▶ using `goalStack` and editor support to execute tactics one by one
 - ▶ combine tactics manually with tacticals to create larger tactics
 - ▶ finally end up with one large tactic that solves your goal
 - ▶ use `prove` or `store_thm` instead of `goalStack`
- make sure to **clearly mark proof structure** by e. g.
 - ▶ use indentation
 - ▶ use parentheses
 - ▶ use appropriate connectives
 - ▶ ...
- `goalStack` commands like `e` or `g` should not appear in your final proof

Some Basic Tacticals



<code>tac1 >> tac2</code>	<code>THEN, \\</code>	applies tactics in sequence
<code>tac > tacL</code>	<code>THENL</code>	applies list of tactics to subgoals
<code>tac1 >- tac2</code>	<code>THEN1</code>	applies tac2 to the first subgoal of tac1
<code>REPEAT tac</code>	<code>rpt</code>	repeats tac until it fails
<code>NTAC n tac</code>		apply tac n times
<code>REVERSE tac</code>	<code>reverse</code>	reverses the order of subgoals
<code>tac1 ORELSE tac2</code>		applies tac1 only if tac2 fails
<code>TRY tac</code>		do nothing if tac fails
<code>ALL_TAC</code>	<code>all_tac</code>	do nothing
<code>NO_TAC</code>		fail

- (equational) rewriting is at the core of HOL4's automation
- we will discuss it in detail later
- details complex, but basic usage is straightforward
 - ▶ given a theorem `rewr_thm` of form $\vdash P\ x = Q\ x$ and a term `t`
 - ▶ rewriting `t` with `rewr_thm` means
 - ▶ replacing each occurrence of a term $P\ c$ for some `c` with $Q\ c$ in `t`
- **warning:** rewriting may loop

Example: rewriting with theorem $\vdash X \Leftrightarrow (X \wedge T)$

`REWRITE_TAC` thms

rewrite goal using equations found
in given list of theorems

`ASM_REWRITE_TAC` thms

in addition use assumptions

`ONCE_REWRITE_TAC` thms

rewrite once in goal using equations

`ONCE_ASM_REWRITE_TAC` thms

rewrite once using assumptions

Case-Split and Induction Tactics



<code>Induct_on 'term'</code>	induct on term
<code>Induct</code>	induct on all-quantifier
<code>Cases_on 'term'</code>	case-split on term
<code>Cases</code>	case-split on all-quantifier
<code>MATCH_MP_TAC</code> thm	apply rule
<code>IRULE_TAC</code> thm	generalised apply rule

`POP_ASSUM` thm-tac

use and remove first assumption
common usage `POP_ASSUM MP_TAC`

`PAT_ASSUM` term thm-tac
also `PAT_X_ASSUM` term thm-tac

use (and remove) first
assumption matching pattern

`WEAKEN_TAC` term-pred

removes first assumption
satisfying predicate

- decision procedures try to solve the current goal completely
- they either succeed or fail
- no partial progress
- decision procedures vital for automation

<code>TAUT_TAC</code>	propositional logic tautology checker
<code>DECIDE_TAC</code>	linear arithmetic for num
<code>METIS_TAC</code> thms	first order prover
<code>numLib.ARITH_TAC</code>	Presburger arithmetic
<code>intLib.ARITH_TAC</code>	uses Omega test

- it is vital to structure your proofs well
 - ▶ improved maintainability
 - ▶ improved readability
 - ▶ improved reusability
 - ▶ saves time in medium-run
- therefore, use many small lemmata
- also, use many explicit subgoals

'term-frag' `by tac`

show term with tac and
add it to assumptions

'term-frag' `suffices_by tac`

show it suffices to prove term

- notice that `by` and `suffices_by` take **term fragments**
- term fragments are also called **term quotations**
- they represent (partially) unparsed terms
- parsing takes place during execution of tactic in context of goal
- this helps to avoid type annotations
- however, this means syntax errors show late as well
- the library `Q` defines many tactics using term fragments

Importance of Exercises and Homeworks



- here many tactics are presented in a short amount of time
- there are many, many more tactics out there
- few people can learn a programming language just by reading manuals
- similarly, few people can learn HOL4 just by reading and listening
- you should write your own proofs and play around with these tactics

- we want to prove `!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1`
- first step: set up goal on `goalStack`
- at same time start writing proof script

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  ‘‘!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1‘‘,
```

Actions

- run `g ‘‘!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1‘‘`
- this is done by hol-mode
- move cursor inside term and press `M-h g`
(menu-entry `HOL - Goalstack - New goal`)

Current Goal

```
!1. LENGTH (1 ++ 1) = 2 * LENGTH 1
```

- the outermost connective is an all-quantifier
- let's get rid of it via `GEN_TAC`

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  ‘!1. LENGTH (1 ++ 1) = 2 * LENGTH 1‘,  
  GEN_TAC
```

Actions

- run `e GEN_TAC`
- this is done by `hol-mode`
- mark line with `GEN_TAC` and press `M-h e`
(menu-entry `HOL - Goalstack - Apply tactic`)

Current Goal

`LENGTH (1 ++ 1) = 2 * LENGTH 1`

- `LENGTH` of `APPEND` can be simplified
- let's search an appropriate lemma with `DB.match`

Actions

- run `DB.print_match [] 'LENGTH (_ ++ _)'`
- this is done via hol-mode
- press M-h m and enter term pattern
(menu-entry HOL - Misc - DB match)
- this finds the theorem `listTheory.LENGTH_APPEND`
`|- !l1 l2. LENGTH (l1 ++ l2) = LENGTH l1 + LENGTH l2`

Current Goal

`LENGTH (1 ++ 1) = 2 * LENGTH 1`

- let's rewrite with found theorem `listTheory.LENGTH_APPEND`

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  ‘‘!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1‘‘,  
  GEN_TAC >>  
  REWRITE_TAC[listTheory.LENGTH_APPEND]
```

Actions

- connect the new tactic with tactical `>>` (`THEN`)
- use hol-mode to expand the new tactic

Current Goal

`LENGTH 1 + LENGTH 1 = 2 * LENGTH 1`

- let's search a theorem for simplifying `2 * LENGTH 1`
- prepare for extending the previous rewrite tactic

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  ‘‘!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1‘‘,  
  GEN_TAC >>  
  REWRITE_TAC[listTheory.LENGTH_APPEND]
```

Actions

- `DB.match` finds theorem `arithmeticTheory.TIMES2`
- press M-h b and undo last tactic expansion
(menu-entry HOL - Goalstack - Back up)

Current Goal

`LENGTH (1 ++ 1) = 2 * LENGTH 1`

- extend the previous rewrite tactic
- finish proof

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  ‘!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1‘,  
  GEN_TAC >>  
  REWRITE_TAC[listTheory.LENGTH_APPEND, arithmeticTheory.TIMES2]);
```

Actions

- add `TIMES2` to the list of theorems used by rewrite tactic
- use hol-mode to expand the extended rewrite tactic
- goal is solved, so let's add closing parenthesis and semicolon

- we have a finished tactic proving our goal
- notice that `GEN_TAC` is not needed
- let's polish the proof script

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  “!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1“,  
  GEN_TAC >>  
  REWRITE_TAC[listTheory.LENGTH_APPEND, arithmeticTheory.TIMES2]);
```

Polished Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  “!1. LENGTH (APPEND 1 1) = 2 * LENGTH 1“,  
  REWRITE_TAC[listTheory.LENGTH_APPEND, arithmeticTheory.TIMES2]);
```

- let's prove something slightly more complicated
- drop old goal by pressing M-h d
(menu-entry HOL - Goalstack - Drop goal)
- set up goal on `goalStack` (M-h g)
- at same time start writing proof script

Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (‘‘!x1 x2 x3 11 12 13.  
  (MEM x1 11 /\ MEM x2 12 /\ MEM x3 13) /\  
  ((x1 <= x2) /\ (x2 <= x3) /\ x3 <= SUC x1) ==>  
  ~(ALL_DISTINCT (11 ++ 12 ++ 13))‘‘,
```

Current Goal

```
!x1 x2 x3 l1 l2 l3.  
  (MEM x1 l1 /\ MEM x2 l2 /\ MEM x3 l3) /\  
  x1 <= x2 /\ x2 <= x3 /\ x3 <= SUC x1 ==>  
  ~ALL_DISTINCT (l1 ++ l2 ++ l3)
```

- let's strip the goal

Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (''!x1 x2 x3 l1 l2 l3.  
  (MEM x1 l1 /\ MEM x2 l2 /\ MEM x3 l3) /\  
  ((x1 <= x2) /\ (x2 <= x3) /\ x3 <= SUC x1) ==>  
  ~(ALL_DISTINCT (l1 ++ l2 ++ l3))'',  
REPEAT STRIP_TAC
```

Current Goal

```
!x1 x2 x3 l1 l2 l3.  
  (MEM x1 l1 /\ MEM x2 l2 /\ MEM x3 l3) /\  
  x1 <= x2 /\ x2 <= x3 /\ x3 <= SUC x1 ==>  
  ~ALL_DISTINCT (l1 ++ l2 ++ l3)
```

- let's strip the goal

Proof Script

```
val LENGTH_APPEND_SAME = prove (  
  ‘‘!l. LENGTH (APPEND l l) = 2 * LENGTH l‘‘,  
  REPEAT STRIP_TAC
```

Actions

- add `REPEAT STRIP_TAC` to proof script
- expand this tactic using hol-mode

Current Goal

- | | |
|--------------|----------------------------------|
| 0. MEM x1 11 | 4. x2 <= x3 |
| 1. MEM x2 12 | 5. x3 <= SUC x1 |
| 2. MEM x3 13 | 6. ALL_DISTINCT (11 ++ 12 ++ 13) |
| 3. x1 <= x2 | |

F

- oops, we did too much, we would like to keep ALL_DISTINCT in goal

Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (''...',  
REPEAT GEN_TAC >> STRIP_TAC
```

Actions

- undo REPEAT STRIP_TAC (M-h b)
- expand more fine-tuned strip tactic

Current Goal

- | | |
|--------------|-----------------|
| 0. MEM x1 11 | 3. x1 <= x2 |
| 1. MEM x2 12 | 4. x2 <= x3 |
| 2. MEM x3 13 | 5. x3 <= SUC x1 |

~ALL_DISTINCT (11 ++ 12 ++ 13)

- now let's simplify `ALL_DISTINCT`
- search suitable theorems with `DB.match`
- use them with rewrite tactic

Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (“...”,  
REPEAT GEN_TAC >> STRIP_TAC >>  
REWRITE_TAC[listTheory.ALL_DISTINCT_APPEND, listTheory.MEM_APPEND])
```

Current Goal

- | | |
|--------------|-----------------|
| 0. MEM x1 11 | 3. x1 <= x2 |
| 1. MEM x2 12 | 4. x2 <= x3 |
| 2. MEM x3 13 | 5. x3 <= SUC x1 |

 $\sim((\text{ALL_DISTINCT } 11 \wedge \text{ALL_DISTINCT } 12 \wedge !e. \text{MEM } e \ 11 \implies \sim \text{MEM } e \ 12) \wedge \text{ALL_DISTINCT } 13 \wedge !e. \text{MEM } e \ 11 \vee \text{MEM } e \ 12 \implies \sim \text{MEM } e \ 13)$

- from assumptions 3, 4 and 5 we know $x2 = x1 \vee x2 = x3$
- let's deduce this fact by `DECIDE_TAC`

Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (''...' ,  
  REPEAT GEN_TAC >> STRIP_TAC >>  
  REWRITE_TAC[listTheory.ALL_DISTINCT_APPEND, listTheory.MEM_APPEND] >>  
  '(x2 = x1) \vee (x2 = x3)' by DECIDE_TAC
```

Current Goals — 2 subgoals, one for each disjunct

- | | |
|--------------|-----------------|
| 0. MEM x1 l1 | 4. x2 <= x3 |
| 1. MEM x2 l2 | 5. x3 <= SUC x1 |
| 2. MEM x3 l3 | 6a. x2 = x1 |
| 3. x1 <= x2 | 6b. x2 = x3 |

 $\sim((\text{ALL_DISTINCT } l1 \wedge \text{ALL_DISTINCT } l2 \wedge !e. \text{MEM } e \ l1 \implies \sim \text{MEM } e \ l2) \wedge \text{ALL_DISTINCT } l3 \wedge !e. \text{MEM } e \ l1 \vee \text{MEM } e \ l2 \implies \sim \text{MEM } e \ l3)$

- both goals are easily solved by first-order reasoning
- let's use `METIS_TAC[]` for both subgoals

Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (“...“,  
  REPEAT GEN_TAC >> STRIP_TAC >>  
  REWRITE_TAC[listTheory.ALL_DISTINCT_APPEND, listTheory.MEM_APPEND] >>  
  ‘(x2 = x1) \vee (x2 = x3)’ by DECIDE_TAC >> (  
    METIS_TAC[]  
  ));
```

Finished Proof Script

```
val NOT_ALL_DISTINCT_LEMMA = prove (  
  ‘‘!x1 x2 x3 l1 l2 l3.  
    (MEM x1 l1 /\ MEM x2 l2 /\ MEM x3 l3) /\  
    ((x1 <= x2) /\ (x2 <= x3) /\ x3 <= SUC x1) ==>  
    ~(ALL_DISTINCT (l1 ++ l2 ++ l3))‘‘,  
  REPEAT GEN_TAC >> STRIP_TAC >>  
  REWRITE_TAC[listTheory.ALL_DISTINCT_APPEND, listTheory.MEM_APPEND] >>  
  ‘(x2 = x1) \\/ (x2 = x3)’ by DECIDE_TAC >> (  
    METIS_TAC[]  
  ));
```

- notice that proof structure is explicit
- parentheses and indentation used to mark new subgoals

Part IX

Induction on Numbers and Lists



- mathematical (a. k. a. natural) induction principle:
If a property P holds for 0 and $P(n)$ implies $P(n + 1)$ for all n ,
then $P(n)$ holds for all n .
- HOL4 is expressive enough to encode this principle as a theorem.

$$\vdash \neg P. P\ 0 \wedge (!n. P\ n \implies P\ (SUC\ n)) \implies !n. P\ n$$

- Performing mathematical induction in HOL4 means applying this theorem (e. g. via `HO_MATCH_MP_TAC`)

- HOL4 lists are defined similarly to in SML:

```
list = NIL | CONS of 'a => list
```

- list induction principle is similar to that of natural numbers:

```
|- !P. P [] /\ (!t. P t ==> !h. P (h::t)) ==> !l. P l
```

- fair warning: these are the **default**, not the only possible induction principles/theorems for numbers and lists

Induction (and Case-Split) Tactics



- the tactic `Induct` (or `Induct_on`) is usually used to start induction proofs
- it looks at the type of the quantifier (or its argument) and applies the default induction theorem for this type
- this is usually what one needs
- similarly, `Cases_on` picks and applies default case-split theorems (e. g. , for `bool`)

- let's prove via induction
 !11 12. REVERSE (11 ++ 12) = REVERSE 12 ++ REVERSE 11
- we set up the goal and start an induction proof on 11

Proof Script

```
val REVERSE_APPEND = prove (  
  ''!11 12. REVERSE (11 ++ 12) = REVERSE 12 ++ REVERSE 11'',  
  Induct
```

- the induction tactic produced two cases

- base case:

```
!12. REVERSE ([] ++ 12) = REVERSE 12 ++ REVERSE []
```

- induction step:

```
!h 12. REVERSE (h::11 ++ 12) = REVERSE 12 ++ REVERSE (h::11)
```

```
!12. REVERSE (11 ++ 12) = REVERSE 12 ++ REVERSE 11
```

- both goals can be easily proved by rewriting

Proof Script

```
val REVERSE_APPEND = prove (''  
!11 12. REVERSE (11 ++ 12) = REVERSE 12 ++ REVERSE 11'',  
Induct >| [  
  REWRITE_TAC[REVERSE_DEF, APPEND, APPEND_NIL],  
  ASM_REWRITE_TAC[REVERSE_DEF, APPEND, APPEND_ASSOC]  
]);
```

- let's prove via induction
 !1. REVERSE (REVERSE 1) = 1
- we set up the goal and start an induction proof on 1

Proof Script

```
val REVERSE_REVERSE = prove (  
  ''!1. REVERSE (REVERSE 1) = 1'',  
  Induct
```

- the induction tactic produced two cases

- base case:

`REVERSE (REVERSE []) = []`

- induction step:

`!h. REVERSE (REVERSE (h::l1)) = h::l1`

`REVERSE (REVERSE l) = l`

- again both goals can be easily proved by rewriting

Proof Script

```
val REVERSE_REVERSE = prove (  
  ‘‘!l. REVERSE (REVERSE l) = l‘‘,  
  Induct >| [  
    REWRITE_TAC[REVERSE_DEF],  
    ASM_REWRITE_TAC[REVERSE_DEF, REVERSE_APPEND, APPEND]  
  ]);
```